



**MERGANSER**  
CAPITAL MANAGEMENT

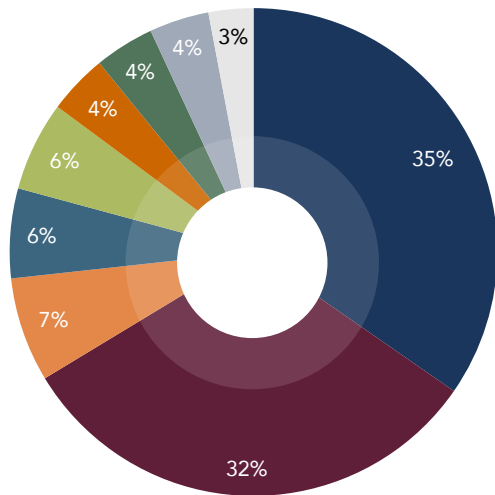
# COMPANY PROFILE

As of June 30, 2020

## KEY CHARACTERISTICS

|                               |             |
|-------------------------------|-------------|
| <b>Total Firmwide Assets:</b> | \$13,581 MM |
| <b>Firm Inception Date:</b>   | 1985        |
| <b>Number of Clients:</b>     | 91          |
| <b>Number of Accounts:</b>    | 122         |

## CLIENTS



- Corporate Funds
- Education & Foundation
- Insurance & trust
- Sub-Advisory
- Religious Organization
- Healthcare
- Taft-Hartley
- Other
- Public

## CONTACT INFORMATION

**Merganser Capital Management**  
99 High Street  
Boston, MA 02110  
www.merganser.com

**Consultant Relations & Business Development**  
P: 617.528.4863  
info@merganser.com

## FIRM OVERVIEW

Formed in 1985, Merganser Capital Management is an SEC registered investment advisor focused on the fixed income needs of institutional clients.

As fundamental investors, we aim to understand the intricacies of fixed income vehicles in order to identify the securities and sectors that provide the most attractive risk/reward characteristics. Succeeding in this endeavor has allowed us to meet the needs and objectives of our clients and gain their trust. Capital preservation, liquidity and total return permeate all of our decisions.

We embrace a client service approach that is high touch, team oriented and encourages frequent dialogue and direct communication.

## STRATEGIES

| STRATEGY*                            | DURATION RANGE     |
|--------------------------------------|--------------------|
| <b>Short Duration</b>                |                    |
| Investment Grade Floating Rate       | 0.0 - 0.2 Yrs      |
| Cash Enhancement                     | 0.2 - 1.5 Yrs      |
| Short Term 1-3 Yr G/C / Short Income | 1.5 - 2.0 Yrs      |
| Short Term 1-5 Yr G/C                | 2.0 - 3.0 Yrs      |
| <b>Shorter Duration AUM</b>          | <b>\$10,305 MM</b> |
| <b>Long Duration</b>                 |                    |
| Intermediate Agg / Intermediate G/C  | 3.0 - 5.0 Yrs      |
| Core Aggregate                       | 4.0 - 7.0 Yrs      |
| <b>Longer Duration AUM</b>           | <b>\$2,428 MM</b>  |
| <b>Customized Strategies</b>         |                    |
| Treasury LDI                         | Variable           |
| Securitized                          | Variable           |
| <b>Customized Strategies AUM</b>     | <b>\$848 MM</b>    |
| <b>Total AUM</b>                     | <b>\$13,581 MM</b> |

Numbers in chart and table may not foot due to rounding.

\*Merganser Capital Management has adjusted product names as of 2020. Floating Rate is now Investment Grade Floating Rate, Short Term Bond is now Short Term Bond 1-3 Year Gov/Credit, Moderate Duration is now Short Term Bond 1-5 Year Gov/Credit, Intermediate Bond is now Intermediate Gov/Credit and Core Bond is now Core Aggregate.